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UTILITY PATENT APPLICATION **TRANSMITTAL**

Attorney Docket No.	21500 Banner et al	
First Inventor		
Title	Novel Mandelic Acid D	
Express Mail Label No.	EL 912161353 US	

(Only for new nonprovisional applications under 37 CFR 1.53(b))

APPLICATION ELEMENTS See METE Application concerning utility patient application contents. ADDRESS TO: Commissioner for Patents Policy 10 Box 1430 Box 143		Mail Stop Patent Application						
See MPEP chapter 600 concerning utility patent application contents. P.O. Bost 1450 P.	APPLICATION ELEMENTS	<u> </u>						
1. Fee Transmittal Form (e.g., PTO/SB/17)	*** * =	P.O. Box 1450						
Computer Program (Appendant Augustes for fee processing)		Alexandria VA 22313-1450 O7						
Computer Program (Appendance) Computer Program (Appendance)	1 Fee Transmittal Form (e.g., PTO/SB/17)	7. CD-ROM or CD-R in duplicate, large table or						
2. Applicant claims small entity status. See 37 CFR 1.27. Specification (preferred arrangement set forth below) - Descriptive title of the invention - Cross Reference to Requested Applications 8 o. - Cross Reference to Requested Applications 8 o. - Reference to Requested Applications 8 o. - Reference to Requested Registration 8 o. - Brief Summary of the Invention - Brief Summary of Summary of Invent								
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- Descriptive titls of the invention - Cross Reference to Releance Agrications - Statement Regarding Fed sponsored R a D - Reference to sequence Listing, a table, or a computer program listing appendix - Bit of Summary of the Unevention - Bit of Description of the Drawings (if filed) - Detailed Description - Claim(s) - Detailed Description - Claim(s) - Abstract of the Disclosure 4. Drawing(s) (35 U.S.C. 113) [Total Sheets	3. V Specification [Iotal Pages ZZ3]	a. Computer Reader Form (CRF)						
- Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Brief Summary of the Invention - Brief Description of the Drawings (filled) - Detailed Description for Brief Description of the Drawings (filled) - Detailed Description - Claim(s) - Abstract of the Disclosure 4. Drawing(s) (3 5 U. S. C. 113) [Total Sheets] 5. Oath or Declaration		h Specification Sequence Listing on:						
- Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Description of the Drawings (If filed) - Detailed Description - Claim(s) - Abstract of the Disclosure 4. Drawing(s) (35 U.S.C. 113) Total Sheets		b. Specification Sequence Listing on.						
or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Claim(s) - Detailed Description of the Drawings (if filed) - Claim(s) - Claim(s) - Abstract of the Disclosure 4. Drawing(s) (3 S.U.S.C. 113) [Total Sheets		i. CD-ROM or CD-R (2 copies); or						
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5. Oath or Declaration [Total Sheets 3] 11.								
a. Newly executed (original or copy) b. Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed) i. DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) name in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). 6. Application Data Sheet. See 37 CFR 1.76 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76: Continuation Divisional Continuation-in-part (CIP) of prior application No: Prior application Information: Examiner For CONTINUATION OF DIVISIONAL APPS only; The entire disclosure of the prior application, and only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 19. CORRESPONDENCE ADDRESS Registration No. (Attorney/Agent) 38218 Signature 12. Implications Copy for or in Information in Return Receipt Procepts (IDS)/PTO-1449 Citations Citations Citations Citations Citations Centined (IDS)/PTO-1449 Citations Citations Return Receipt Postcard (IMPEP 503) (Should be specificatly itemized) (Centified Copy of Priority Document(s) (if foreign priority is claimed) (Information Divisional Copy of Priority Document(s) (if foreign priority is claimed) (Information Priority is claimed) (Information Information Request under 35 U.S.C. 122 (b)(2)(B)(I), Applicant must attach form PTO/SB/35 or its equivalent. Other: Other: Art Unit: For CONTINUATION OF DIVISIONAL APPS only; The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 55, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The Incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 19. CORRESPONDENCE ADDRESS Registration No. (Attorney/Agent) 38218 Registration	5. Oath or Declaration [Total Sheets 3]							
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(b)(2)(B)(I). Application must attach form PTO/SB/35 or its equivalent. Other: 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76: Continuation Divisional Continuation-in-part (CIP) of prior application No.: Prior application information: Examiner Examiner For CONTINUATION OF DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 55, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 19. CORRESPONDENCE ADDRESS Customer Number: 00151 OR Correspondence address below Name Address City State Zip Code Country Fax Registration No. (Attorney/Agent) 38218 Signature Date Nov. 21 2003								
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Name (Print/Type) Bernard Lau	City	State Zip Code						
Signature Date Nov. 21, 2002	Country	lephone Fax						
Signature Date Nov. 21, 2002	Name (Print/Type) Bernard Lau	Registration No. (Attorney/Agent) 38218						
	Signature	ļ						

This collection of information is required by 37 GFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality'ls governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Director of the United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

Hoffmann-La Roche Inc. 340 Kingsland Street Nutley, NJ 07110 Case Docket <u>21500</u> November 21, 2003

Sir:

Transmitted herewith for filing under 37 C.F.R. § 1.53(b) is the patent application of

Banner et al

For: NOVEL MANDELIC ACID DERIVATIVES

1. The fee has been calculated as shown below:

CLAIMS					
FOR	NO. FILED	NO. EXTRA	RATE	FEE	
TOTAL CLAIMS	67 - 20	47	x \$18	846	
INDEP. CLAIMS	- 3	0	x \$86		
MULTIPLE D	EPENDENT CLAI	+ \$290	290		
BASIC FEE \$770					
TOTAL <u>\$ 1906</u>					

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The Commissioner is hereby authorized to charge payment of any additional fees associated with this communication or credit any overpayment to Deposit Account No. 08-2525, including those under 37 C.F.R. § 1.16 and 1.17. This sheet is provided in duplicate.

Continue to address all communications to:

George W. Johnston Hoffmann-La Roche Inc. 340 Kingsland Street Nutley, NJ 07110

NO. 08-2525

OUR ORDER NO. <u>519</u>5

Attorney for Applicant(s)

Bernard Lau

(Reg. No. 38218)

136625

Certification under 37 CFR 1.10

I hereby certify that this form and all documents cited on this form are being deposited with the United States Postal Service in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number:

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Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

on November 21, 2003

Date

Marta Swaryczewsky

Typed or printed name of person of signing Certificate

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

Papers Submitted for Case Docket 21500: Patent Application Transmittal Form, Application Data Sheet, Fee Transmittal Form, Specification, Declaration, Information Disclosure Statement